

### REMARKS

Claims 99-125 were elected. Applicants have canceled claim 122. Claim 99 has been amended to replace each reference to a particular group of amino acids (e.g., Group 2 amino acid) with the names of the amino acids within the specified group. Support for this amendment is found on page 20, line 41 to page 21, line 9. Claims 102-119 have been amended to replace one-letter amino acid abbreviations with the name of the amino acid. Claim 123 has been amended as suggested by the examiner. Claims 124 and 125 have been amended to correct their dependency. Claims 126-143, which recite specific amino acid changes listed in claim 99, have been added. No new matter has been added.

#### Claim Objections

The examiner objected to claim 122 as drawn to non-elected subject matter. Claim 122 has been cancelled.

#### Rejections Under 35 U.S.C §101

The examiner rejected claims 122-124 as drawn to non-statutory subject matter. Claim 122 has been cancelled. Applicants have amended claim 123 to recite a "fungal cell containing a recombinant nucleic acid molecule comprising the nucleic acid molecule of claim 99" (emphasis added). Claim 124 has been amended to depend only from claim 123. In view of the amendments, applicants respectfully request that this rejection be withdrawn.

#### Rejections Under 35 U.S.C. §112, First paragraph (written description)

The examiner rejected claims 99-119 and 121-125 under 35 U.S.C. §112, first paragraph as allegedly not supported by an adequate written description. Applicants disagree.

The examiner argued that the claims encompass polypeptides having an "infinite number of amino acid changes". First, the claims do not encompass nucleic acid molecules encoding polypeptides having an infinite number of amino acid changes compared to SEQ ID NO:91.

Second, applicants have provided examples of 41 different functional variants of a polypeptide having the amino acid sequence of SEQ ID NO:91 (lovE).

The claims are not drawn to nucleic acid molecules encoding polypeptides containing an infinite number of amino acid changes relative to SEQ ID NO:91 as suggested by the examiner. Rather the claims are drawn to nucleic acid molecules encoding polypeptides that have an amino acid sequence identical to that of SEQ ID NO:91 except for the presence of one or more of the listed amino acid changes. This is clear from the original language of claim 99. For example, original claim 99 specifies "at least one amino acid change selected from the group consisting of" certain specified amino acid changes. However, to further clarify the claims, applicants have amended claim 99 to recite an "isolated nucleic acid molecule comprising a nucleotide sequence encoding a polypeptide comprising an amino acid sequence that is identical to the amino acid sequence of SEQ ID NO:91 except for the presence of at least one amino acid change selected from the group consisting of..."

The examiner also argued that the present claims do not meet the written description requirement because, according to the examiner, the specification does not unambiguously define which amino acids fall into which groups, i.e., the specification does not disclose which amino acids are Group 2 amino acids, etc. The examiner also argues that the specification only defines what the various groups "typically include" and not what the various groups include under "atypical conditions". Claim 99 has been amended to refer to the specific amino acids falling into each of Groups 1-6 as disclosed in the specification at pages 20-21. This portion of the specification clearly and explicitly defines the amino acids within each of Group 1-6, and these definitions have been incorporated into the present claims.

In view of the forgoing, applicants respectfully request that this rejection under 35 U.S.C. §112, first paragraph be withdrawn.

Rejections Under 35 U.S.C. §112, first paragraph (enablement)

The Examiner rejected claims 99-119 and 121-125 as allegedly not enabled. Applicants respectfully traverse this rejection.

The specification enables those of ordinary skill in the art to make and to use the claimed nucleic acid molecules and cells. Those skilled in the art are capable of using site-directed mutagenesis and other methods to create nucleic acid molecules encoding any desired lovE variant. The variants can be tested for lovE activity using any of the several assays described in the specification (see, e.g., pages 36-49). In one assay a gene conferring resistance to G418 is placed under the control of the lovF promoter, a promoter that is activated by functional lovE. Cells containing this construct and expressing a functional lovE variant will be resistant to G418. In a second assay the beta-galactosidase gene is placed under the control of the lovF promoter. Cells containing this construct and a functional lovE variant will produce beta-galactosidase, an enzyme that can be readily assayed. Finally, since expression of functional lovE is required for lovastatin production by *A. terreus*, in a third assay, lovE variants are tested for the ability to promote production of lovastatin in *A. terreus*.

The specification provides numerous examples of polypeptides having one or more of the amino acid changes specified in claim 99. As can be seen from Table 3 of the specification (page 43) applicants isolated 41 functional lovE variants. Nearly all of these functional variants had two or more amino acid changes and one, lovE 40, had 11 amino acid changes. Some of these functional variants included amino acid changes in addition to those specified in claim 99. The table below provides examples of each of the amino acid changes specified in claim 99 along with the number of different polypeptides that were isolated having each amino acid change (in addition to other amino acids changes).

Type of amino acid change listed in claim 99	Example	Specification	Times Isolated
Phenylalanine changed to valine, leucine, isoleucine, or methionine at position 31	Phenylalanine to Leucine at 31	Table 4 (p. 44)	4
Glutamine changed to lysine, arginine or histidine at position 41	Glutamine to Lysine at 41	Table 4 (p. 44)	2
	Glutamine to Arginine at 41	Table 4 (p. 44)	3

Threonine changed to valine, leucine, isoleucine, or methionine at position 52	Threonine to Isoleucine at 52	Table 4 (p. 44)	1
Threonine changed to aspartic acid, glutamic acid, asparagine or glutamine at position 52	Threonine to Aspartic acid at 52	Table 4 (p. 44)	1
Cysteine changed to lysine, arginine or histidine at position 73	Cysteine to Arginine at 73	Table 4 (p. 44)	6
Proline changed to serine, threonine or cysteine at position 101	Proline to Serine at 101	Table 4 (p. 44)	1
Proline changed to aspartic acid, glutamic acid, asparagine or glutamine at position 101	Proline to Glutamine at 101	Table 4 (p. 44)	1
Valine changed to leucine, isoleucine, or methionine at position 111	Valine to Isoleucine at 111	Table 4 (p. 44)	2
Serine changed to valine, leucine, isoleucine, or methionine at position 133	Serine to Leucine at 133	Table 4 (p. 44)	2
Glutamic acid changed to valine, leucine, isoleucine, or methionine at position 141	Glutamic acid to Valine at 141	Table 4 (p. 44)	1
Glutamic acid changed to lysine, arginine or histidine at position 141	Glutamic acid to Lysine at 141	Table 4 (p. 44)	1
Cysteine changed to phenylalanine, tyrosine or tryptophan at position 153	Cysteine to Tyrosine at 153	Table 4 (p. 44)	1
Cysteine changed to lysine, arginine or histidine at position 153	Cysteine to Arginine at 153	Table 4 (p. 44)	1
Threonine changed to	Threonine to	Table 4 (p. 44)	2

glycine, alanine or proline at position 281	Alanine at 281		
Asparagine changed to valine, leucine, isoleucine, or methionine at position 367	Asparagine to Isoleucine at 367	Table 4 (p. 44)	2
Asparagine changed to phenylalanine, tyrosine or tryptophan at position 367	Asparagine to Tyrosine at 367	Table 4 (p. 44)	1
Proline changed to serine, threonine or cysteine at position 389	Proline to Serine at 389	Table 4 (p. 44)	1
a Proline changed to valine, leucine, isoleucine, or methionine at position 389	Proline to Leucine at 389	Table 4 (p. 44)	1

As the examiner can see, applicants have identified at least one, and sometimes several, functional lovE variants having each of the amino acid changes listed in claim 99. It is important to note that a number of these amino acid changes were observed in combination with a variety of additional amino acid changes. For example, the change of Phenylalanine to Leucine at position 31 was observed four times. In the case of lovE 20 this amino acid change was observed in combination with a change of Threonine to Isoleucine at position 409. In the case of lovE 21 the change of Phenylalanine to Leucine at amino acid 31 was observed in combination with amino acid changes at positions 97, 113, 146, 163, 367 and 458. In the case of lovE 31 the change of Phenylalanine to Leucine at amino acid 31 was observed in combination with amino acid changes at positions 101, 153, 159, 162, 293 and 311. In the case of lovE 34, the change of Phenylalanine to Leucine at amino acid 31 was observed in combination with amino acid changes at positions 52, 101, 108 and 111. The fact that a particular amino acid change can occur in combination with multiple different additional amino acid changes illustrates that these amino acid changes can be observed in a variety of contexts and still result in a functional protein.

The teachings of the specification, including the description of 41 functional lovE variants having up to 11 amino acid changes, combined with the knowledge of those of ordinary skill in the art, fully enables the present claims.

In view of the forgoing, Applicants respectfully request that these rejections under 35 U.S.C. §112, first paragraph be withdrawn.

Rejections Under 35 U.S.C. §102(b)

The examiner rejected claims 99 and 121-125 under 35 U.S.C. §102(b) as anticipated by U.S. Patent No. 5,849,541. According to the examiner, the TPKS protein disclosed in U.S. Patent No. 5,849,541 anticipates the present claims. As discussed above, the present claims are drawn to nucleic acid molecules encoding proteins having at least one of certain specified amino acids changes compared to SEQ ID NO:91. The TPKS protein does not bear the slightest resemblance to SEQ ID NO:91 and does not fall within the present claims. This can be seen from the alignment of TPKS from U.S. Patent No. 5,849,541 (top line) and SEQ ID NO:91 (second line) shown in Appendix A.

In view of the forgoing, applicants respectfully request that the rejections under 35 U.S.C. §102(b) be withdrawn.

Rejections Under 35 U.S.C. §102(e)

The examiner rejected claims 99-101 and 121-125 under 35 U.S.C. §102(e) as anticipated by U.S. Patent No. 6,391,583. According to the examiner, the lovE protein disclosed in U.S. Patent No. 6,391,583 anticipates the present claims. As discussed above, the present claims are drawn to nucleic acid molecules encoding proteins having at least one of certain specified amino acids changes compared to SEQ ID NO:91. An alignment of SEQ ID NO:91 and the lovE protein of U.S. Patent No. 6,391,583 is shown in Appendix B. As can be see from this alignment, the lovE protein of U.S. Patent No. 6,391,583 includes a stretch of more than 30 amino acids beginning at amino acid 228 that is not present in SEQ ID NO:91. Moreover, the

Applicant : Shannon Roberts et al.  
Serial No. : 09/974,760  
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Attorney's Docket No.: 14184-009001

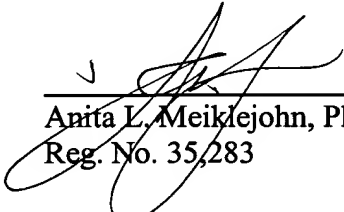
lovE protein of U.S. Patent No. 6,391,583 does not anticipate the present claims because it does not include any of the amino acid alterations specified in the claims.

In view of the forgoing, applicants respectfully request that the rejections under 35 U.S.C. §102(b) be withdrawn.

Please apply any other charges or credits to deposit account 06-1050.

Respectfully submitted,

Date: 23 NOVEMBER 2004

  
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Appendix A  
US5849541 TPKS aligned with SEQ ID NO. 91

US5849541 tps seq ID 91 Consensus	(1)	1	10	20	30	40	50	60	74	Section 1
	(1)	MAQSMYPNEPIVVVGSGCRFPGDANTPSKLWELLQHPRDVQSRIPKERFDVDTFYHPDGKHHGRTNAPYAYVLQ								
	(1)	-----								
	(1)	-----								
US5849541 tps seq ID 91 Consensus	(75)	75	80	90	100	110	120	130	148	Section 2
	(75)	DDLGAFAFFNIQAGEAESMDPQHRLLLETVYEAVTNAGMRIQDLQGTSTAVYVGVMTHDYETVSTRDLESIP								
	(1)	-----								
	(75)	-----								
US5849541 tps seq ID 91 Consensus	(149)	149	160	170	180	190	200	210	222	Section 3
	(149)	TYSATGVAVSVAASNRISEYFFDWHGPSMTIDTACSSLVAVHLAVQQRLRTGQSSMAIAAGANLILGPMFTFVLESK								
	(1)	-----								
	(149)	-----								
US5849541 tps seq ID 91 Consensus	(223)	223	230	240	250	260	270	280	296	Section 4
	(223)	LSMLSPSGRSRMWDAGADGYARGEAVCSVVLKTLSQLRDGDTIECVIRETGQVNDGRTTGTMPNHSAQEALI								
	(1)	-----								
	(223)	-----								
US5849541 tps seq ID 91 Consensus	(297)	297	310	320	330	340	350	360	370	Section 5
	(297)	KATYAQAGLDITKAEDRCQFFEAHGTTGTAGDPQAEAEIATAFFGHEQVARSDGNERAPLFVGSAKTVVGHTEG								
	(1)	-----								
	(297)	-----								
US5849541 tps seq ID 91 Consensus	(371)	371	380	390	400	410	420	430	444	Section 6
	(371)	TAGLAGLMKASFAVRHGVIPPNLLFDKISPRVAPFYKNLRIPTEATQWPALPPGQPRRASVNSFGFGGTNAHAI								
	(1)	-----								
	(371)	-----								



Section 7											
(445) 445	450	460	470	480	490	500	518				
US5849541 tpks	(445) IEEYMEPEQNQLRVSNNE	DCPMTGVL	SLPLVLSAKSQRSLKIMME	MLQFLQSHPEIHLHDLTWSLLRKR	SVL						
seq ID 91	(1)										
Consensus (445)											
Section 8											
(519) 519	530	540	550	560	570	580	592				
US5849541 tpks	(519) FERRAIVGHSHETIRRA	LEDAIEDGIVSSDFTTEVRGQPSVL	GIFTGQGAQWP	GMMLKLNLI	EASPYVRNIVRELD						
seq ID 91	(1)										
Consensus (519)											
Section 9											
(593) 593	600	610	620	630	640	650	666				
US5849541 tpks	(593) DSLQSLPEKYRPSWTLLDQ	FMLEGEASNQYATFSQPLCCAVQI	VLVRLLEAARI	RFTAVVGHSSGEI	ACAF	AA					
seq ID 91	(1)										
Consensus (593)											
Section 10											
(667) 667	680	690	700	710	720	730	740				
US5849541 tpks	(667) GLISASLAIRIAYLRGV	VSAGGARGTPGAMLAAGMSFEEA	QEI	CELD	AFEGRICVAASNSPDSVT	FSGDANAID					
seq ID 91	(1)										
Consensus (667)											
Section 11											
(741) 741	750	760	770	780	790	800	814				
US5849541 tpks	(741) HLKGMLEDESTFARLLK	VDTAYHSHHMLPCADPYMQALE	ECGCAVADAGSPAGSV	PWYSSVDAENRQMAARDVT							
seq ID 91	(1)										
Consensus (741)											
Section 12											
(815) 815	820	830	840	850	860	870	888				
US5849541 tpks	(815) AKYWKDNLVSPVLF	SHAVQRAVVVTHKALDIGIEV	GCHPALKSPCVATIKDVL	SGVDLAYTGCLERGKNDL	DSFS						
seq ID 91	(1)										
Consensus (815)											

	(889)	889	900	910	920	930	940	950	Section 13
US5849541 tps	(889)	RALAYLWERFGASSFDAEFMRAVAPDRPCMSVSKLLPAYPWDRSRRYWVESRATRHHLRGPKPHLLGLKLEY							962
seq ID 91	(1)	--	--	--	--	--	--	--	
Consensus	(889)	--	--	--	--	--	--	--	

	(963)	963	970	980	990	1000	1010	1020	Section 14
US5849541.tpk	(963)	STPLSFQWLNFVRPRDIEWLDGHALQGQTVPAAGYIVMAMEAALMIAGTHAKQVKLLLEILDM	SIDKAVI	FDDE					1036
seq ID 91	(1)								
Consensus	(963)								

	(1037)	1037	1050	1060	1070	1080	1090	1100	Section 15
US5849541 tpks	(1037)	DSLVELNLTA	DVSRNAGEAGSMTISFKIDCSLKEGNLSLSAKGQALTI	EDVNPTTSASDQHHLPPPEEHP					
seq ID 91	(1)	--	--	--	--	--	--	--	
Consensus (1037)		--	--	--	--	--	--	--	

	(1111)	1111	1120	1130	1140	1150	1160	1170	Section 16
US5849541 tps	(1111)	HMNRVNINAFYHELGLMGYNYSKDFERLHNMQRADLRASGTLDFIPLMDEGNGCPLLLHPASLDVAFQTVIGAY							1184
seq ID 91 & (1)	(1111)								
Consensus (1111)	(1111)								

[illegible][illegible]

Section 19									
(1333)	1333	1340	1350	1360	1370	1380	1390		1406
US5849541 tps	(1333)	QKQIEWLEQV	LASAKEGRHLWYDPGWENDTEAQIEHLCTANSTHPHVRLVQRVGQHL	LPTVRSNGNPF	DLDDH				
seq ID 91	(1)								
Consensus (1333)									
Section 20									
(1407)	1407	1420	1430	1440	1450	1460	1470		1480
US5849541 tps	(1407)	GLLTFEYNTLSFGPALHYARELV	QIAHRYQSM	DI	LEIGAGTGATKYVLATPQLGFNSYTYTDISTGFFEQA				
seq ID 91	(1)								
Consensus (1407)									
Section 21									
(1481)	1481	1490	1500	1510	1520	1530	1540		1554
US5849541 tps	(1481)	REQFAPFEDRMVFEPLDIRRSPAEQGFEPHAYDLIIASNVLHATPDLEKTM	AHARSL	LKPGGQMV	ILEITHKEH				
seq ID 91	(1)								
Consensus (1481)									
Section 22									
(1555)	1555	1560	1570	1580	1590	1600	1610		1628
US5849541 tps	(1555)	TRLGFI	FGLFADWWAGVDDGRCTEPFVSEDRWDAILKRVG	SGVDSRTTDRDANL	FRTSVFSTH	AIDATVEYLD			
seq ID 91	(52)	TGRAPC	QRCQAGLR	CVYSE	RCCKRLRQ	SRAADLV	SADPDCLHMS	PPVPSQSLP	LDVSESHSNTSRQFLD
Consensus (1555)	T		V	RC		R		P	V
Section 23									
(1629)	1629	1640	1650	1660	1670	1680	1690		1702
US5849541 tps	(1629)	APLASSGTVKDSYPP	LVVGGQTPQ	SQRLNDIKAIMPP	PRPLQTYKRLVD	LLDAEELPMKSTFV	MLTELDEELF		
seq ID 91	(126)	PPDSYDWSWT	SIGTDEAIDTDCWGLSQ	CDGGFSCQLEPTLP	DLPSPFESTVEKAPLP	PPVSSDIARAASAQ	RELF		
Consensus (1629)	P		SQ		P	P	A	P	S
Section 24									
(1703)	1703	1710	1720	1730	1740	1750	1760		1776
US5849541 tps	(1703)	AGLTEETFEATKLL	LLTYASNT	--VWL	TENAWVQHPHQASTIGMLRSIRREHPDLGVHVL	DVDAVETFDATFLV			
seq ID 91	(200)	DDL	SAVSQEELE	ILLAVTVEWPKQEI	WTHPIGMFFENASRRLLTVLRQQAQ	ADCHQGTLD	DECLRTKNLFTAVHCY		
Consensus (1703)	L	E	LL	T		LR	G		F

Section 25														
(1777) 1777	1790	1800	1810	1820	1830	1840	1850							
US5849541 tpks (1774)	EQVLRLEEHTDELAS	TTWTQEPESWCKGRPW	IPRLKRD	LARNRMN	SSRRPI	YEMID	SSRAPVALQTARDSS							
seq ID 91 (274)	ILNVRILTAISELL	SQIRRTQNSH	MSPLEGSR	QSPSRDD	TSSSSGH	SSVD	TIPFFSENLP							
Consensus (1777)	R	EL S		RD	SS	I								
Section 26														
(1851) 1851	1860	1870	1880	1890	1900	1910	1924							
US5849541 tpks (1848)	SYFLES	AETW	FVPES	VQOMET	KTIYVH	FSCPH	ALRVG	QLGFF	YLVQ	GHVQ	EGNRE	VPV	VALA	ERNASIVHVRPD
seq ID 91 (348)	HALFS	ACTT	LVH	GVQ	LLRENE	ITLGVH	SAQGI	ASIS	MSGEP	GEDI	ARTGATN	---	SARCEE	QPTT
Consensus (1851)	T	V		T	VH	A	G				N			V
Section 27														
(1925) 1925	1930	1940	1950	1960	1970	1980	1998							
US5849541 tpks (1922)	YIYTE	ADNN	LSE	GGSL	MVTV	LAA	AVLAET	VI	STAK	CLG	VTDS	IL	V	PNP
seq ID 91 (419)	FLS	DEGAF	Q	EAKS	AGSR	GRTIA	LR	CEYED	IF	SLARK	HKH	GML	RD	LN
Consensus (1925)	E	GS	T	A	E	S	A							N P
Section 28														
(1999) 1999	2010	2020	2030	2040	2050	2060	2072							
US5849541 tpks (1996)	G	N	R	S	S	V	S	A	G	D	A	K	S	W
seq ID 91 (470)	L	T	L	H	A	R	D	T	D	W	H	L	R	R
Consensus (1999)														
Section 29														
(2073) 2073	2080	2090	2100	2110	2120	2130	2146							
US5849541 tpks (2070)	S	T	E	L	H	S	G	R	H	Q	A	S	L	P
seq ID 91 (470)	A	A	Y	W	E	H	V	V	S	L	A	R	Q	G
Consensus (2073)														
Section 30														
(2147) 2147	2160	2170	2180	2190	2200	2210	2220							
US5849541 tpks (2144)	V	R	S	I	D	A	E	T	L	F	A	A	D	K
seq ID 91 (470)	T	L	L	V	G	L	T	G	D	L	G	R	S	L
Consensus (2147)														



Section 37

(2665) 2665 2670 2680 2690 2700 2710 2720 2738  
 US5849541 tpks (2662) DENGMAQLVFGQTKNKVQTIQVSDRAGAEQYRQLVQTRYNPAAGDTLRLVDFFWGQDDHLLVVAYHRLVGDGS  
 seq ID 91 (470) -----  
 Consensus (2665)

Section 38

(2739) 2739 2750 2760 2770 2780 2790 2800 2812  
 US5849541 tpks (2736) TTENIFVEAGQLYDGTSLSPHVPPQFADLAARQRAMLEDGRMEEDLAYWKKMHYRPSSIPVPLMRPLVGNSSRS  
 seq ID 91 (470) -----  
 Consensus (2739)

Section 39

(2813) 2813 2820 2830 2840 2850 2860 2870 2886  
 US5849541 tpks (2810) DTPNFQHCQGWQQHEAVARLDPMVAFRIKERSRKHKATPMQFYLAAYQVLLARLTDSTDLTVGLADTNRAVD  
 seq ID 91 (470) -----  
 Consensus (2813)

Section 40

(2887) 2887 2900 2910 2920 2930 2940 2950 2960  
 US5849541 tpks (2884) MAAMGFFANLLPLRFRDPRPHITFGEHLIATRDLVREALQHARVPYGVLLDQLGLEVPVPTSNQPAPLFAVFD  
 seq ID 91 (470) -----  
 Consensus (2887)

Section 41

(2961) 2961 2970 2980 2990 3000 3010 3020 3034  
 US5849541 tpks (2958) YKQQAESGTIGGAKITEVIATRERTPYDVVLEMSDDPTKDPDLLTAKLQSSRYEAHHHPQAFLESYMSLLSMFSM  
 seq ID 91 (470) -----  
 Consensus (2961)

Section 42

(3035) 3035 3041  
 US5849541 tpks (3032) NPALKLA  
 seq ID 91 (470) -----  
 Consensus (3035)

Appendix B  
US6391583 lovE aligned with SEQ ID NO. 91

		Section 1																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																															
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US6391583 lovE	(1)	MAADQGI	FTNSVT	TLSPVE	GRTGGT	LPRAFR	RRSCDR	CHAKIK	CTGNKE	VTGRAP	CQQA	GLRCV	SERCPK																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
seq ID 91	(1)	MAADQGI	FTNSVT	TLSPVE	GRTGGT	LPRAFR	RRSCDR	CHAKIK	CTGNKE	VTGRAP	CQQA	GLRCV	SERCPK																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
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Consensus (451) FMFLSDEGAFQEA KSAGSRGRTIAALRRCYEDIFSLARKKHKGMLRDLNNIPP